

## United States Patent and Trademark Office



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/897.450

07/03/2001

Elizabeth L. Lee

16762.216-US00

**CONFIRMATION NO. 8348** 

**FORMALITIES LETTER** 

\*OC000000006453992\*

Covington & Burling Patent Docketing 1201 Pennsylvania Avenue, NW Washington, DC 20004-2401

Date Mailed: 08/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/24/2001 MBERHE

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Docket No.: 016762.216-US00

(PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Elizabeth L. Lee, et al.

Application No.: 09/897,450-8348

Group Art Unit: 2131

Filed: July 3, 2001 Examiner: Not Yet Assigned

For: SYSTEM, APPARATUS, AND METHOD FOR

PERFORMING CRYPTOGRAPHIC

VALIDITY SERVICES

## TRANSMITTAL LETTER

Commissioner for Patents Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the abovereferenced Patent Application:

- Fee Transmittal;
- Response to Notice to File Missing Parts of Application (in duplicate);
- 3. Copy of the Notice to File Missing Parts of Application;
- Declaration for Utility Patent Application;
- Power of Attorney;
- Statement under 37 CFR 3.73(b) with a copy of the Assignment document;
- Check No. 28667 for \$130 to cover the surcharge for late filing of the Declaration; and
- Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 016762.216-US00. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned. A duplicate copy of this paper is enclosed.

Dated: October 22, 2001

(October 21, 2001 = Sunday)

Respectfully submitted,

Richard P. Dulik

Registration No.: 40,598 COVINGTON & BURLING 1201 Pennsylvania Avenue, N.W. Washington, DC 20004-2401 (202) 662-6000

Docket No.: 016762.216-US00

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Elizabeth L. Lee, et al.

Application No.: 09/897,450-8348

Group Art Unit: 2131

Filed: July 3, 2001

Examiner: Not Yet Assigned

For: SYSTEM, APPARATUS, AND METHOD FOR

PERFORMING CRYPTOGRAPHIC

**VALIDITY SERVICES** 

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

## **Box Missing Parts**

Commissioner for Patents Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed August 21, 2001, Applicants respectfully submit the following documents:

- 1. Fee Transmittal;
- 2. Copy of the Notice to File Missing Parts of Nonprovisional Application;
- 3. Original executed Declaration for Utility Application;
- 4. Original executed Power of Attorney;
- 5. Statement under 37 CFR 3.73(b) with a copy of the Assignment document;
- 6. Check No. 286671 for \$130 surcharge for the late filing of the Declaration; and
- 7. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 016762.216-US00. In the event that extensions of time are necessary to prevent abandonment of

this patent application, then such extensions of time are hereby petitioned. A duplicate copy of this paper is enclosed.

Dated: Monday, October 22, 2001

(October 21, 2001=Sunday)

Respectfully submitted,

Richard P. Dulik

Registration No.: 40,598 COVINGTON & BURLING 1201 Pennsylvania Avenue, N.W. Washington, DC 20004-2401 (202) 662-6000

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ns are required to respond to a collection of information unless it displays a valid OMB control number.

# **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT 130.00

Complete if Known 09/897,450-8348 Application Number July 3, 2001 Filing Date Elizabeth L. Lee First Named Inventor Not Yet Assigned Examiner Name 2131 Group Art Unit 016762.216-US00 Attorney Docket No.

METHOD OF PAYMENT	FEE CALCULATION (continued)							
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES						
Deposit	_			I Entity		_		
Account Sumber 50-0740	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Deposit Account	105	130	205	65	Surcharge - late filing fee or oath	130.00		
Name	127	50	227	25	Surcharge – late provisional filing fee or cover sheet.			
X Charge Any Additional Applicant claims small entity status. See	139	130	139	130	Non-English specification			
37 CFR 1.16 and 1.17 37 CFR 1.27	147	2,520	147	2,520	For filing a request for ex parte reexamination			
2. X Payment Enclosed	112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
X Check Credit Card Money Order Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
FEE CALCULATION	115	110	215	55	Extension for reply within first month			
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month			
Large Entity Small Entity	117	920	217	460	Extension for reply within third month			
Fee Fee Fee Fee Description Fee Paid	118	1,440	218	720	Extension for reply within fourth month			
101 740 201 370 Utility filing fee	128	1,960	228	980	Extension for reply within fifth month			
106 330 206 165 Design filing fee	119	320	219	160	Notice of Appeal			
107 510 207 255 Plant filing fee	120	320	220	160	Filing a brief in support of an appeal			
108 740 208 370 Reissue filing fee	121	280	221	140	Request for oral hearing			
114 160 214 80 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding			
SUPTOTAL (4) (2)	140	110	240	55	Petition to revive - unavoidable			
SUBTOTAL (1) (\$) 0.00	141	1,280	241	640	Petition to revive - unintentional			
2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid	142	1,280	242	640	Utility issue fee (or reissue)			
Total Claims 73 -73** = X = 0.00	143	460	243	230	Design issue fee			
Independent 8 -8** = x = 0.00	144	620	244	310	Plant issue fee			
Multiple Dependent =	122	130	122	130	Petitions to the Commissioner			
	123	50	123	50	Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity	126	180	126	180	Submission of Information Disclosure Stmt			
Fee Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)			
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))			
104 280 204 140 Multiple dependent claim, if not paid	149	740	249	370	For each additional invention to be examined (37CFR 1.129(b))			
109 84 209 42 ** Reissue independent claims	179	740	279	370	Request for Continued Examination (RCE)			
over original patent	169	900	169	900	Request for expedited examination of a design application			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other	fee (spec	cify)					
SUBTOTAL (2) (\$) 0.00	*Redu	ced by B	asic Filir	ng Fee P	aid SUBTOTAL (3) (\$)	130.00		
**or number previously paid, if greater, For Reissues, see above								
SUBMITTED BY	SUBMITTED BY Complete (if applicable)							

SUBMITTED BY		Complete (	Complete (if applicable)		
Name (print/type)	Richard P. Dulik	Registration No. (Attorney/Agent)	40,598	Telephone	(202) 662-6000
Signature	Phil Till			uare I	Monday, October 22, 2001 (Oct. 21=Sunday)